

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/710,502

07/15/2004

Li Li

ACMP0124USA

027765 NAIPO (NORTH AMERICA INTERNATIONAL PATENT OFFICE) P.O. BOX 506 MERRIFIELD, VA 22116



Date Mailed: 10/18/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

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A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PTO/SB/17 (10-04) Approved for use through 07/31/2006. OMB 0651-0032
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FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** 

| (\$) | 130.00 |  |
|------|--------|--|
|      |        |  |

| Complete if Known    |             |  |  |  |
|----------------------|-------------|--|--|--|
| Application Number   | 10/710,502  |  |  |  |
| Filing Date          | 07/15/2004  |  |  |  |
| First Named Inventor | Li Li       |  |  |  |
| Examiner Name        | N/A         |  |  |  |
| Art Unit             | 2131        |  |  |  |
| Attorney Docket No.  | ACMP0124USA |  |  |  |

| METHOD OF PAYMENT (check all that apply)  | FEE CALCULATION (continued) |            |              |               |  |              |
|---|-----------------------------|------------|--------------|---------------|--|--------------|
| Check Credit card Money Other None  | 3. Al                       | DDITI      | ONAL         | . FEE         | S  |              |
| Deposit Account:  | <u>Large l</u>              | Entity     | Small        | <b>Entity</b> |  |              |
| Deposit FO 2405   | Fee<br>Code                 |            |              | Fee<br>(\$)   | Fee Description  | Fee Paid     |
| Account 5U-31U5<br>Number   | 1051                        | 130        | 2051         | 65            | Surcharge - late filing fee or oath  | 130.00       |
| Deposit Account North America Intellectual Property Corp.   | 1052                        | 50         | 2052         | 25            | Surcharge - late provisional filing fee or cover sheet                     |              |
| The Director is authorized to: (check all that apply)   | 1053                        | 130        | 1053         | 130           | Non-English specification  |              |
| Charge fee(s) indicated below Credit any overpayments   | 1812                        | 2,520      | 1812 2       | 2,520         | For filing a request for ex parte reexamination                            | <b> </b>     |
| Charge any additional fee(s) or any underpayment of fee(s)  | 1804                        | 920*       | 1804         | 920*          | Requesting publication of SIR prior to Examiner action                     |              |
| Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.   | 1805                        | 1,840*     | 1805         | 1,840*        | Requesting publication of SIR after Examiner action                        |              |
| FEE CALCULATION   | 1251                        | 110        | 2251         | 55            | Extension for reply within first month                                     |              |
| 1. BASIC FILING FEE   | 1252                        | 430        | 2252         | 215           | Extension for reply within second month                                    |              |
| Large Entity Small Entity   | 1253                        | 980        | 2253         | 490           | Extension for reply within third month                                     | <u> </u>     |
| Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)  | 1254                        | 1,530      | 2254         | 765           | Extension for reply within fourth month                                    |              |
| 1001 790 2001 395 Utility filing fee  | 1255                        | 2,080      | 2255         | 1,040         | Extension for reply within fifth month                                     |              |
| 1002 350 2002 175 Design filing fee   | 1401                        | 340        | 2401         | 170           | Notice of Appeal   |              |
| 1003 550 2003 275 Plant filing fee  | 1402                        | 340        | 2402         | 170           | Filing a brief in support of an appeal                                     |              |
| 1004 790 2004 395 Reissue filing fee  | 1403                        | 300        | 2403         | 150           | Request for oral hearing   |              |
| 1005 160 2005 80 Provisional filing fee   | 1451                        | 1,510      | 1451         | 1,510         | Petition to institute a public use proceeding                              | <u> </u>     |
| SUBTOTAL (1) (\$) 0.00  | 1452                        | 110        | 2452         | 55            | Petition to revive - unavoidable   |              |
|   |                             | 1,330      | 2453         | 665           | Petition to revive - unintentional   |              |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE   | 1501                        | 1,370      | 2501         | 685           | Utility issue fee (or reissue)   |              |
| Total Claims  | 1502                        | 490        | 2502         |               | Design issue fee   | · ·          |
| Independent   | 1503                        | 660        | 2503         |               | Plant issue fee  |              |
| Claims - 3** = X = X Multiple Dependent   | 1460                        | 13,0       | 1460         | 130           | Petitions to the Commissioner  |              |
| <u> </u>  | 1807                        | 50         | 1807         | 50            | Processing fee under 37 CFR 1.17(q)  |              |
| Large Entity   Small Entity   Fee   Fee | 1806                        | 180        | 1806         |               | Submission of Information Disclosure Stmt                                  | <u>  </u>    |
| Code (\$)   | 8021                        | 40         | 8021         | 40            | Recording each patent assignment per property (times number of properties) |              |
| 1202 18 2202 9 Claims in excess of 20 1201 88 2201 44 Independent claims in excess of 3   | 1809                        | 790        | 2809         |               | Filing a submission after final rejection (37 CFR 1.129(a))                |              |
| 1203 300 2203 150 Multiple dependent claim, if not paid   | 1810                        | 790        | 2810         | 395           | For each additional invention to be  |              |
| 1204 88 2204 44 ** Reissue independent claims   | 4004                        | 700        | 2004         | 000           | examined (37 CFR 1.129(b))   |              |
| over original patent  1205 18 2205 9 ** Reissue claims in excess of 20  | 1801<br>1802                | 790<br>900 | 2801<br>1802 | 395<br>900    |  | <del> </del> |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent   | 1002                        | 300        | 1002         | 900           | of a design application  |              |
| SUBTOTAL (2) (\$) 0.00  | Other                       | fee (sp    | ecify) _     |               |  |              |
| **or number previously paid, if greater; For Reissues, see above  | *Redu                       | ced by     | Basic F      | Filing F      | ee Paid SUBTOTAL (3) (\$) 130.   | 00           |
| SUBMITTED BY (Complete (if applicable))   |                             |            |              |               |  |              |
| Name (Print/Type) Winston Hsu   | F                           | Registra   | tion No.     | 41.           |  | 50           |

UCT 2 9 2004 Wenton Lans Date Signature

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

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|---|--------------|--|--|--------------------|
|   |              | Application Number                           | 10/710,502   |                    |
| TRANSMITTAL   |              | Filing Date                                  | 07/15/2004   |                    |
| FORM  |              | First Named Inventor                         | Li Li  |                    |
|   |              | Art Unit                                     | 2131   |                    |
| to be used for all correspondence after initial filing) |              | Examiner Name                                | N/A  |                    |
| otal Number of Pages in This Submission                 | 10           | Attorney Docket Number                       | ACMP0124USA  |                    |

Total Number of Pages in This Submission

| ENCLOSURES (Check all that apply)   |                        |   |   |   |  |   |  |  |
|---|------------------------|---|---|---|--|---|--|--|
|   | ee Transmittal Fo      | ed  |   | Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application |  | After Allowance Communication to  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information |  |  |
| After Final Affidavits/declaration(s)  Extension of Time Request Express Abandonment Request Information Disclosure Statement   |                        | Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD |   | Address   | Status Letter  Other Enclosure(s) (please Ident below):  -Declaration Documents -Power of Attorney or Authorization of Author |   |  |  |
| Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53   |                        |   |   |   |  |   |  |  |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  |                        |   |   |   |  |   |  |  |
| North America Intellectual Property Corporation   |                        |   |   |   | ation  |   |  |  |
|   | Signature Winters than |   |   |   |  |   |  |  |
| Printed name Winston Hsu  |                        |   |   |   |  |   |  |  |
| Date 0CT 2 9  |                        | 2004 Reg. No  |   | Reg. No.  |  | 41,526  |  |  |
| CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:  Signature |                        |   |   |   |  |   |  |  |
| Typed or p  | printed name           |   | • |   |  | Date  |  |  |

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